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PATENT
Customer Number: 22,852
Atty. Dkt. No.: 7451.0001-16
InterTrust Ref. No.: IT-5.6.1(US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

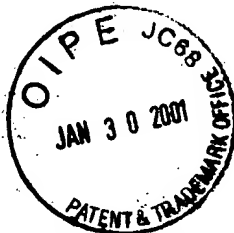
Karl L. Ginter, et al.

Serial No.: 09/698,044

Filed: October 30, 2000

For: SYSTEMS AND METHODS FOR SECURE
TRANSACTION MANAGEMENT AND
ELECTRONIC RIGHTS PROTECTION

OFFICE OF INITIAL PATENT EXAMINATION
Assistant Commissioner for Patents
Washington, DC 20231



) Group Art Unit: 2132

) Examiner: Unassigned

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Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

We enclose a copy of an Official Filing Receipt that was received from the U.S. Patent and Trademark Office. Please correct the continuing data information to include the following:

This application is a continuation of 09/208,017, filed 12/09/98
which is a continuation of 08/964,333, filed 11/04/97 (now U.S. Pat. No. 5,982,891),
which is a file wrapper continuation of 08/388,107, filed 02/13/95, now abandoned

Also, please correct the last name of the first inventor to read **Ginter**, not **Ginster**.

A copy of the filing receipt with the correction made in red ink is attached. Also, we enclose a copy of the continuation transmittal letter listing the continuing data information as well as a copy of the application cover sheet showing the names of the inventors. It is respectfully requested that a Corrected Official Filing Receipt be issued as soon as possible.

Since the error is on the part of the Patent Office, no fee is required. However, if it is determined that any fees are due in connection with the filing of this Request, please charge such fees to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: Linda J. Thayer
Linda J. Thayer
Reg. No. 45,681

Dated: January 29, 2001

LAW OFFICES

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CPI/LST



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/698,044 | 10/30/2000 | 2132 | 1142 | 7451.0001-16 | 147 | 44 | 3 |

FILING RECEIPT

Finnegan Henderson Farabow Garrett & Dunner LLP
1300 I Street NW
Washington, DC 20005



OC000000005674931

Date Mailed: 01/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Karl L. Ginster, Beltsville, MD ;
Victor H. Shear, Bethesda, MD ;
Francis J. Spahn, El Cerrito, CA ;
David M. Van Wie, Sunnyvale, CA ;

RECEIVED

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/208,017 12/09/1998
AND SAID which is a con of 08/1904,323 11/04/97, now U.S. Pat No. 5,982,891
which IS A CON OF 08/388,107 02/13/1995 ABN
file wrapper construction

JAN 16 2001
FINNEGAN, HENDERSON,
FARABOW, GARRETT & DUNNER, L.L.P.

Foreign Applications

If Required, Foreign Filing License Granted 01/11/2001

Title

Systems and methods for secure transaction management and electronic rights protection

Preliminary Class

705

DKT
1/16/01
A/G

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Prior Application: 09/208,017

Filed: December 9, 1998

Of: Karl L. Ginter, et al.

For: SYSTEMS AND METHODS FOR SECURE TRANSACTION MANAGEMENT
AND ELECTRONIC RIGHTS PROTECTION

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

SIR:

This is a request for filing a ☒ Continuation ☐ Divisional Application under 37 C.F.R. § 1.53(b) of pending prior application Serial No. 09/208,017, filed December 9, 1998, which is a continuation of application Serial No. 08/964,333, filed November 4, 1997 (now U.S. Patent No. 5,982,891), which is a file wrapper continuation under 37 C.F.R. § 1.62 of parent application Serial No. 08/388,107, filed February 13, 1995, now abandoned

1. ☒ Enclosed is a complete copy of the prior application as originally filed including the drawings and Declaration. I hereby verify that the attached papers are a true copy of prior application Serial No. 09/208,017, as originally filed on December 9, 1998.
2. ☐ Enclosed is a substitute specification under 37 C.F.R. § 1.125.
3. ☒ Cancel Claims 1-90.
4. ☒ A Preliminary Amendment is enclosed.
5. ☒ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 and 4 above.

| For | Number Filed | Number | Extra | Rate | Basic Fee | |
|---|--------------|--------|-------|-----------|-----------|------------|
| Total Claims | 44 | -20= | 24 | x\$18.00= | | \$710.00 |
| Independent Claims | 3 | -3 = | 0 | x\$80.00= | | \$432.00 |
| Multiple Dependent Claim(s) (if applicable) | | | | \$260.00= | | \$0.00 |
| Total | | | | | | \$1,142.00 |
| Reduction by 2 for filing by small entity | | | | | | |
| TOTAL FILING FEE | | | | | | \$1,142.00 |

6. ☒ A check in the amount of \$1,142.00 to cover the filing fee is enclosed.
7. ☒ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
8. ☒ Amend the specification by inserting before the first line, the sentence:

--This application is a continuation of commonly assigned copending application Serial No. 09/208,017, filed December 9, 1998, which is a continuation of application Serial No. 08/964,333, filed November 4, 1997 (now U.S. Patent No. 5,982,891), which is a file wrapper continuation under 37 C.F.R. § 1.62 of parent application Serial No. 08/388,107, filed February 13, 1995 (now abandoned), all of which are incorporated herein by reference.--
9. ☐ New formal drawings are enclosed.
10. ☒ The prior application is assigned of record to: InterTrust Technologies Corporation.
11. ☐ Priority of application Serial No. _____, filed on _____ in _____ (country) is claimed under 35 U.S.C. ' 119. A certified copy

☐ is enclosed or ☐ is on file in the prior application.
12. ☐ A verified statement claiming small entity status

☐ is enclosed or ☐ is on file in the prior application.
13. ☐ A copy of a new power of attorney is enclosed. This power of attorney replaces the power of attorney filed in the prior application.

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14. ■ Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005-3315.

PETITION FOR EXTENSION. If any extension of time is necessary for the filing of this application, including any extension in the parent application, Serial No. 09/208,017, filed December 9, 1998, for the purpose of maintaining copendency between the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 06-0916. A duplicate copy of this paper is enclosed for use in charging the deposit account.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Date: October 27, 2000

By: Linda J. Thayer
Linda J. Thayer
Reg. No. 45,681

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Atty. Dkt. No.: 7451.0001-16
InterTrust Ref. No.: IT-5.6.1 (US)

United States Patent Application

of

***Karl L. Ginter
Victor H. Shear
Francis J. Spahn
and
David M. Van Wie***

for

***Systems and Methods For Secure Transaction
Management and Electronic Rights Protection***

LAW OFFICES

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